

E-ISSN: 0976-4844 • Website: <u>www.ijaidr.com</u> • Email: editor@ijaidr.com

Small States and International Security: Challenges, Strategies, and Contributions

Dr. Pallav Mithal

Dept. of Political Science, Lal Bahadur Shastri Govt. College Kotputli (Rajasthan)

Abstract

This paper explores the unique role of small states in the international security landscape. Traditionally overlooked in favor of great powers, small states face distinct security dilemmas due to their limited military, economic, and political capacities. However, they have demonstrated agency through strategic diplomacy, international law, regional alliances, and niche contributions to peacekeeping, conflict resolution, and norm-building. The study reviews theoretical perspectives, assesses contemporary threats, and uses case studies from the Baltics, Southeast Asia, the Caribbean, and the Nordic region to illustrate the security strategies employed by small states. The conclusion emphasizes the growing importance of small states in maintaining global peace and stability in an increasingly multipolar world.

Introduction

Small states, typically defined by limited population size, territorial reach, and military capacity, occupy a paradoxical place in international security. While often seen as vulnerable and dependent, these states have found innovative ways to assert their interests, contribute to global governance, and enhance their security. In the post-Cold War world, the increasing complexity of global threats—from cyber warfare to climate change—has highlighted the adaptive strategies small states deploy to ensure survival and relevance.

This paper examines the theoretical foundations of small state security, identifies their primary security challenges, and analyzes the strategies these states use to navigate a volatile international environment. It also discusses the role small states play in international organizations, conflict prevention, and normative diplomacy.

Defining Small States and Security

There is no universal definition of a small state, but common criteria include population (typically under 10 million), limited economic output, and minimal military capabilities. The United Nations, World Bank, and various regional organizations use varying definitions based on size, vulnerability, and economic development.

Security for small states transcends traditional military concerns. As Inis Claude noted, security involves the preservation of national independence and survival. For small states, this includes economic viability, political autonomy, societal cohesion, and resilience to transnational threats. Unlike major



powers, small states are often security consumers rather than providers, reliant on external guarantees or multilateral institutions.

Theoretical Frameworks

• Realism Realist theory suggests that small states exist in a system dominated by power politics. Due to their limited capabilities, they are expected to bandwagon with great powers or form alliances to deter aggression. According to Hans Morgenthau and Kenneth Waltz, the anarchic international system compels small states to adopt survival strategies, often involving alignment or neutrality. Small states are often seen as pawns in a broader geopolitical chessboard, constrained in their choices and pressured to conform to the balance of power dictated by dominant states.

However, realism has also been refined to consider the agency of small states. Neorealists acknowledge that while power capabilities matter, geography, strategic location, and the ability to manipulate alliances can enhance a small state's influence. States like Singapore and Qatar, for example, have leveraged their geographic and economic positions to exert considerable influence despite their size.

• Liberalism Liberal perspectives emphasize the importance of international institutions, economic interdependence, and rule-based order. Small states benefit from the liberal international order through participation in the United Nations, WTO, and regional organizations. These platforms allow small states to amplify their voices, resolve disputes peacefully, and reduce asymmetries in power.

Institutions provide small states with arenas to exert influence through agenda-setting, coalitionbuilding, and norm advocacy. Economic interdependence reduces the likelihood of conflict and offers avenues for prosperity and security. Examples include the Nordic states' reliance on the European Union and EFTA frameworks to ensure economic stability and collective security.

• Constructivism Constructivist approaches highlight the role of identity, norms, and diplomacy in shaping small state behavior. Small states often engage in norm entrepreneurship—promoting human rights, environmental standards, and conflict resolution practices. Their status as non-threatening actors gives them legitimacy and moral authority in international forums.

Constructivists argue that small states construct their foreign policies based on identity and historical experience. This is evident in the foreign policies of countries like Costa Rica, which abolished its military and now focuses on peace promotion and environmental protection. Constructivism helps explain why small states may punch above their weight in promoting international norms and reshaping global discourse.

Security Challenges for Small States

• Military Vulnerability :Small states often lack the resources to field large armed forces or advanced defense systems. This makes them susceptible to external threats and internal



instability. The risk of coercion or intervention by more powerful neighbors remains a constant concern.

Moreover, reliance on external security providers can create dependencies that limit strategic autonomy. In times of shifting alliances or global disorder, small states may find their security guarantees unreliable. For example, the Baltic states rely on NATO for deterrence, but concerns persist about the alliance's long-term resolve in the face of emerging threats.

• Economic Fragility :Many small states are economically dependent on a narrow range of exports or foreign investment. This makes them vulnerable to global market fluctuations, sanctions, and economic coercion. Economic downturns can also exacerbate domestic unrest.

Tourism-dependent economies, such as those in the Caribbean or Pacific Islands highlight how external shocks can have outsized effects on small economies. Economic fragility also affects the ability of small states to invest in defense, diplomacy, and development, further compounding their security risks.

• Political and Institutional Weakness :Some small states suffer from limited administrative capacity, corruption, or weak governance structures. These weaknesses can undermine national cohesion and hinder crisis response. In extreme cases, political fragility can lead to state failure or external intervention.

In addition, institutional weaknesses hinder the ability to engage effectively in international diplomacy or manage complex threats. Strengthening governance structures is thus a key component of long-term security for many small states.

• Transnational Threats Climate:change, pandemics, cyberattacks, and organized crime disproportionately affect small states due to their limited resilience and geographic exposure. Small island states, for example, face existential threats from rising sea levels and extreme weather events.

Furthermore, the digital revolution has introduced new vulnerabilities, especially for states with nascent cybersecurity infrastructure. Transnational crime networks can also exploit weak borders and institutions, as seen in parts of West Africa and Central America. The global nature of these threats necessitates international cooperation and adaptive domestic policy.

Strategic Responses and Adaptations

• Alliance Formation and Collective Security: Many small states seek security through alliances or participation in collective security arrangements. NATO, for example, includes several small members whose security is guaranteed by larger powers. Similarly, regional blocs like ASEAN provide diplomatic platforms and security coordination.

These arrangements not only offer deterrence but also help build capacity through joint exercises, intelligence sharing, and defense integration. The Nordic Defense Cooperation (NORDEFCO)



exemplifies how small states can pool resources to enhance their collective defense while retaining strategic autonomy.

• International Law and Multilateralism:Small states are ardent supporters of international law and multilateral institutions. These frameworks offer protection, legal remedies, and normative influence. The International Court of Justice, for instance, allows small states to resolve disputes with more powerful actors peacefully.

By engaging in multilateral diplomacy, small states can influence global agendas, shape norms, and build coalitions. The "Friends of the Rule of Law" groups in the UN General Assembly demonstrate how small states band together to support legal norms and international institutions. This strategy enhances their visibility and credibility.

• Niche Diplomacy:Small states often specialize in particular areas of international concern—such as mediation, peacebuilding, environmental diplomacy, or arms control. By leveraging their expertise and moral capital, they gain international visibility and diplomatic clout.

Examples include Norway's mediation in the Israeli-Palestinian conflict and the Philippines' leadership in biodiversity conservation. Niche diplomacy allows small states to brand themselves as problem-solvers and trusted intermediaries in international conflicts or global challenges.

• Resilience and Diversification:Small states invest in resilience by diversifying their economies, improving disaster preparedness, and enhancing cyber capabilities. Governance reforms and social cohesion initiatives also contribute to internal stability.

For example, Estonia has become a global leader in cybersecurity, while Singapore has integrated risk management into all levels of governance. Building societal resilience—through education, civic engagement, and inclusive development—also strengthens national unity and the ability to withstand external shocks.

Contributions to International Security

• Peacekeeping and Mediation:Despite limited resources, small states contribute troops to UN peacekeeping missions and host mediation efforts. Their neutrality and perceived impartiality enhance their credibility as mediators.

Ireland, Fiji, and Ghana have long-standing records of peacekeeping contributions, while states like Qatar and Switzerland have served as hosts for critical peace negotiations. These contributions bolster international legitimacy and offer small states a proactive role in global stability.

• Norm Development and Global Governance:Small states have played a significant role in the development of international norms. For example, Costa Rica has championed disarmament, while Norway has promoted peace processes and humanitarian norms.



Such norm entrepreneurship allows small states to shape international law and policy despite their limited material power. Their consistent advocacy can influence the behavior of larger states and strengthen global governance structures.

• Advocacy for Climate and Human Security:,..,,Small island states and other vulnerable nations have been at the forefront of climate diplomacy. Through coalitions like the Alliance of Small Island States (AOSIS), they have shaped the global climate agenda.

Their advocacy has resulted in stronger global commitments to climate adaptation and financing for vulnerable nations. Moreover, by framing security through the lens of human and environmental security, small states are reshaping traditional conceptions of international security.

Conclusion

Small states are not passive actors in international security but proactive agents that leverage diplomacy, alliances, and multilateralism to safeguard their interests. Their strategic adaptations and normative contributions challenge traditional power-centric paradigms and highlight the value of inclusion and cooperation in global security governance. In an era of uncertainty, small states offer innovative models of resilience, diplomacy, and multilateral engagement that enrich the international order.

References:

- 1. Betz, David. Cyberpower: The Culture and Politics of Cyberspace and the Internet. London: Routledge, 2011.
- 2. Briguglio, Lino. "Small Island Developing States and Their Economic Vulnerabilities." World Development 23, no. 9 (1995): 1615–1632.
- 3. Claude, Inis L. Power and International Relations. New York: Random House, 1962.
- 4. Cooper, Andrew F., and Timothy M. Shaw, eds. The Diplomacies of Small States: Between Vulnerability and Resilience. London: Palgrave Macmillan, 2009.
- 5. Crowards, Tom. "Classifying Small States: A Review of Literature." Journal of International Development 14, no. 2 (2002): 143–179.
- 6. Fassbender, Bardo. The United Nations Charter as the Constitution of the International Community. Leiden: Brill, 2009.
- 7. Keohane, Robert O., and Joseph S. Nye. Power and Interdependence. Boston: Little, Brown, 1977.
- 8. Morgenthau, Hans J. Politics Among Nations: The Struggle for Power and Peace. New York: Knopf, 1973; Waltz, Kenneth. Theory of International Politics. Reading: Addison-Wesley, 1979.
- 9. Panke, Diana. Small States in the European Union: Coping with Structural Disadvantages. Farnham: Ashgate, 2010.
- 10. Røhne, Kristoffer. "Small States and Norm Entrepreneurship: The Case of Norway and the Landmine Ban." Global Society 26, no. 4 (2012): 457–477.
- 11. Sutton, Paul. "The Concept of Small States in the International Political Economy." Round Table 92, no. 368 (2003): 245–257.



- 12. Thorhallsson, Baldur. "Small States in the UN Security Council: Means of Influence?" The Hague Journal of Diplomacy 7, no. 2 (2012): 135–160.
- 13. Vital, David. The Inequality of States: A Study of the Small Power in International Relations. Oxford: Clarendon Press, 1967..
- 14. Wendt, Alexander. Social Theory of International Politics. Cambridge: Cambridge University Press, 1999.